

1645

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hom et al.  
Serial No.: 09/816,876  
Filed: 09/816,876  
Notice of Allow. Date:  
Due Date: 11/17/01  
Title: COMPOUNDS TO TREAT ALZHEIMER'S



Examiner: unknown  
Group Art Unit: 1645  
Docket: 13615.1USU2  
Batch No.:

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: IDS, Commissioner for Patents, Washington, D.C. 20231, on November 15, 2001.

By:

Karen Olsen

IDS  
Commissioner for Patents  
Washington, D.C. 20231

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NOV 26 2001

Sir:

TECH CENTER 1600/2900

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Supplemental Information Disclosure Statement, Form 1449, 13 Reference(s), Copy of International Search Report
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By:

Name: Anna M. Nelson  
Reg. No.: 48,935  
AMN:ko





Supp. IDS/#7  
11/27/01

S/N 09/816,876

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Hom et al.	Examiner:	unknown
Serial No.:	09/816,876	Group Art Unit:	1645
Filed:	March 23, 2001	Docket No.:	13615.1USU2
Title:	COMPOUNDS TO TREAT ALZHEIMER'S DISEASE		

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on November 15, 2001.

By:

Karen Olsen

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in an International Search Report mailed October 17, 2001.

**Certification Under 37 C.F.R. §1.97(e)(1)**

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement.



**Certification Under 37 C.F.R. § 1.704(d)**

In accordance with 37 C.F.R. § 1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement. Accordingly, no patent term adjustment is due for the filing of this Information Disclosure Statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided. Enclosed for the Examiner's information is a copy of the International Search Report.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account

No. 13-2725.

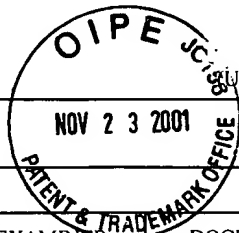
Respectfully submitted,  
MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
612.332.5300

Date: November 15 2001

Anna M. Nelson  
Anna M. Nelson  
Reg. No. 48,935



<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 13615.1USU2	Application Number: 09/816,876
	Applicant: Hom et al.	
	Filing Date: March 23, 2001	Group Art Unit: 1645



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	5,703,129	12/30/1997	Felsenstein et al.				
	5,886,046	3/23/1999	Hirschmann et al.				
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 98/38167	9/3/1998	PCT				
	WO 96/35414	11/14/1996	PCT				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Copy of PCT International Search Report dated October 17, 2001					
		Shugo et al., Chemical and Pharmaceutical Bulletin, Vol. 40, No. 2, 1992 XP002178294 - Abstract					
		Raddatz, J. of Med. Chem., Vol. 34, No. 11, 1991, pgs. 3267-3280 XP002178295 - Abstract					
		Nishi et al., Chemistry Letters, 1989, pgs. 1993-1996 XP002178296 - Abstract					
		Harbeson et al., J. of Med. Chem., Vol. 32, No. 6, 1989, pgs. 1378-1392 XP002178297 - Abstract					
		Thaisrivongs et al., J. Med. Chem., Vol. 31, No. 7, 1988, pgs. 1369-1376 XP002178298 - Abstract					
		Nakano et al., Bulletin of the Chemical Society of Japan, Vol. 63, No. 8, 1990, pgs. 2224-2232 XP002178299 - Abstract					
		Kaltenbronn et al., J. Med. Chem., Vol. 33, No. 2, 1990, pgs. 838-845 XP002178300 - Abstract					
		Ping et al., J. Med. Chem., Vol. 39, No. 10, 1996, pgs. 1991-2007 XP002178301 - Abstract					
		Plummer et al., Bioorganic & Medicinal Chemistry Letters, Vol. 9, No. 6, 1999, pgs. 835-840 XP002178302 - Abstract					

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation and not considered. Include copy of this form for next communication to the Applicant.	


**23552**

PATENT TRADEMARK OFFICE